GP 1627/Bax/say

Docket No.: 19226/931 (R-5495)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hangauer et al. Applicants:

Serial No. 09/482,585

Filed January 13, 2000

A NOVEL METHOD FOR DESIGNING For

PROTEIN KINASE INHIBITORS

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Box: Non-Fee Amendment

RECEIVED

Examiner:

T. Prasthofer

Art Unit: 1627

MAR 0 8 2001

TECH CENTER 1600/2900

RECEIVED

Transmitted herewith is an Amendment with Appendix A (6 pages) in the above-identified application. Also enclosed are:

Small entity status is claimed. [X]

TECH CENTER 1600/2900

- Paper copy of Sequence Listing (3 pages). [X]
- [X]Copy of the Sequence Listing in the form of a computer readable 3.5" diskette.
- Statement in Accordance with 37 CFR §§ 1.82(f) and (g) (1 page). [X]
- [X]Copy of Notice to Comply.
- A self-addressed, prepaid postcard for acknowledging receipt. [X]
- [X] No additional fee is required.
- The Commissioner is hereby authorized to charge any additional fees or credit [X]any overpayment to Deposit Account No. 14-1138

Date

A duplicate copy of this sheet is enclosed.

Date: Tibruary 28,2001

Georgia Eyans Registration No. 44,597

NIXON PEABODY LLP

Clinton Square, P.O. Box 31051

Rochester, New York 14603 Telephone: (716) 263-1672

Facsimile: (716) 263-1600

Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mall in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date belov

Jane C. Wirszyla

R469808.1





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademar k Office COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20 231

| SERIAL NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO. |
|---------------|-------------|-----------------------|---------------------|
| 09/482,585 | 01/13/00 | David G. Hangauer | 19226/931 (R-5495) |
| | | | |
| | | | |

ENTERED Nixon Peabody LLP

EXAMINER Thomas Prasthofer, Ph.D. ART UNIT PAPER NUMBER 1627

DATE MAILED:

Please find below a communication from the EXAMINER in charge of this application

Commissioner of Patents

Notice to Comply with Sequence Rules

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

Applicant is given ONE MONTH from the mailing date of this communication within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

General Information Regarding Further Correspondence

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thomas W. Prasthofer whose telephone number is (703) 308-4548. The examiner can normally be reached on Monday-Friday, 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jvothsna Venkat can be reached on (703) 308-2439. The fax phone number for the organization where this application or proceeding is assigned is (703) 308-2742.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone pumper is (703) 308-1235.

Thomas Prasthofer, Ph.D. (Art unit 1627) DR. JYOTHSNA VENKAT PH.D. January 26, 2001

January 26, 2001

SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1600 0001 RATINAS YBOJONHOAL SUPERVISORY PATENT EXAMINER

OH! TANKEN ANSKEDAT THO



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Offic

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENT | OR | | ATTORNEY DOCKET NO. |
|-------------------------|-------------|--------------------|-----|--------------|---------------------|
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| | | | ٦ [| | EXAMINER |
| Michael L. | Goldman | | _ | PRASI | HOFER, T |
| Nixon Peab | ody LLP · | | | ART UNIT | PAPER NUMBER |
| Clinton Sq P. O. Box | 1051 | | | 1627 | 4 |
| Rochester | NY 14603 | | | DATE MAILED: | 01/30/01 |

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

| IPA | | |
|--|--|----------|
| The nucleof and/or amino acid sequence disclosure contained in this ap for such a disclosure as the forth in 37 CFR 1.821 - 1.825 for the following | plication does not comply with the requiren reason(s): | nents |
| | | |
| 1. The application deady fails to comply with the requirements of 37 | 7 CER 1 821 - 1 825 Applicant's - 4 | |
| directed to the regulations, published at 1114 OG 29, May 15, 199 | 0 and at 55 FR 18230, May 1, 1990 | is |
| NTI | | |
| 2. This application does not contain, as a separate part of the disclose required by 37 CFR 1.821(c). | sure on paper copy, a "Sequence Listing" a | s |
| (| | |
| 3. A copy of the Sequence Listing in computer readable form has no | ot been submitted as required by 37 CFR 1 | .821 |
| | | |
| 4. A copy of the "Sequence Listing" in computer readable form has be | een submitted. However, the content of the | |
| computer readable form does not comply with the requirements of 37 attached marked-up copy of the "Raw Sequence Listing." | CFR 1.822 and/or 1.823, as indicated on the | ne |
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| I I . | | |
| 5. The computer readable form that has been still the st | | |
| 5. The computer readable form that has been filed with this application | n has been found to be damaged and/or | |
| unreadable as indicated on the attached CRF Diskette Oroblam Deport | n has been found to be damaged and/or L. A substitute computer readable form mus | st be |
| 5. The computer readable form that has been filed with this application unreadable as indicated on the attached CRF Diskette Problem Report submitted as required by 37 CFR 1.825(d). | n has been found to be damaged and/or L. A substitute computer readable form mus | st be |
| submitted as required by 37 CFR 1.825(d). | L A substitute computer readable form mus | • |
| submitted as required by 37 CFR 1.825(d). | L A substitute computer readable form mus | • |
| submitted as required by 37 CFR 1.825(d). 6. The paper copy of the "Sequence Listing" is not the same as the co. | L A substitute computer readable form mus | • |
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| as required by 37 CFR 1.825(d). 6. The paper copy of the "Sequence Listing" is not the same as the coast required by 37 CFR 1.821(e). 7. Other: Applicant must provide: An initial er substitute computer readable form (CRF) copy of the "Sequence Listing" is not the same as the coast required by 37 CFR 1.821(e). | L. A substitute computer readable form must | • |
| as indicated on the attached CRF Diskette Problem Report submitted as required by 37 CFR 1.825(d). 6. The paper copy of the "Sequence Listing" is not the same as the coas required by 37 CFR 1.821(e). 7. Other: Applicant must provide: An initial er substitute computer readable form (CRF) copy of the "Sequence Listing" is not the same as the coast required by 37 CFR 1.821(e). | A substitute computer readable form must must be a substitute computer readable form of the "Sequence Lis ence Listing" | • |
| as required by 37 CFR 1.825(d). 6. The paper copy of the "Sequence Listing" is not the same as the coast required by 37 CFR 1.821(e). 7. Other: Applicant must provide: An initial er substitute computer readable form (CRF) copy of the "Sequence Listing" is not the same as the coast required by 37 CFR 1.821(e). | A substitute computer readable form must must be a substitute computer readable form of the "Sequence Lis ence Listing" | • |
| as required by 37 CFR 1.825(d). 6. The paper copy of the "Sequence Listing" is not the same as the coast required by 37 CFR 1.821(e). 7. Other: Applicant must provide: An initial excubatitute computer readable form (CRF) copy of the "Sequence Listing", as well as a specification | A substitute computer readable form must must be a substitute computer readable form of the "Sequence Listing" an amendment directing its entry into the | ting* |
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Please return a copy of this notice with your response.

For Patentin software help, call (703) 308-6856